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BESIII Collaboration

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Measurements of the branching fractions for the semileptonic decays

$$D_s^+ \rightarrow \phi e^+ \nu_e, \phi \mu^+ \nu_\mu, \eta \mu^+ \nu_\mu \text{ and } \eta' \mu^+ \nu_\mu$$

M. Ablikim,¹ M. N. Achasov,^{9,e} S. Ahmed,¹⁴ M. Albrecht,⁴ A. Amoroso,^{53a,53c} F. F. An,¹ Q. An,^{50,a} J. Z. Bai,¹ Y. Bai,³⁹ O. Bakina,²⁴ R. Baldini Ferroli,^{20a} Y. Ban,³² D. W. Bennett,¹⁹ J. V. Bennett,⁵ N. Berger,²³ M. Bertani,^{20a} D. Bettoni,^{21a} J. M. Bian,⁴⁷ F. Bianchi,^{53a,53c} E. Boger,^{24,c} I. Boyko,²⁴ R. A. Briere,⁵ H. Cai,⁵⁵ X. Cai,^{1,a} O. Cakir,^{43a} A. Calcaterra,^{20a} G. F. Cao,¹ S. A. Cetin,^{43b} J. Chai,^{53c} J. F. Chang,^{1,a} G. Chelkov,^{24,c,d} G. Chen,¹ H. S. Chen,¹ J. C. Chen,^{1,†} M. L. Chen,^{1,a} S. J. Chen,³⁰ X. R. Chen,²⁷ Y. B. Chen,^{1,a} X. K. Chu,³² G. Cibinetto,^{21a} H. L. Dai,^{1,a} J. P. Dai,^{35,j} A. Dbeyssi,¹⁴ D. Dedovich,²⁴ Z. Y. Deng,¹ A. Denig,²³ I. Denysenko,²⁴ M. Destefanis,^{53a,53c} F. De Mori,^{53a,53c} Y. Ding,²⁸ C. Dong,³¹ J. Dong,^{1,a} L. Y. Dong,¹ M. Y. Dong,^{1,a} O. Dorjkhaidav,²² Z. L. Dou,³⁰ S. X. Du,⁵⁷ P. F. Duan,¹ J. Fang,^{1,a} S. S. Fang,¹ X. Fang,^{50,a} Y. Fang,¹ R. Farinelli,^{21a,21b} L. Fava,^{53b,53c} S. Fegan,²³ F. Feldbauer,²³ G. Felici,^{20a} C. Q. Feng,^{50,a} E. Fioravanti,^{21a} M. Fritsch,^{14,23} C. D. Fu,¹ Q. Gao,¹ X. L. Gao,^{50,a} Y. Gao,⁴² Y. G. Gao,⁶ Z. Gao,^{50,a} I. Garzia,^{21a} K. Goetzen,¹⁰ L. Gong,³¹ W. X. Gong,^{1,a} W. Gradl,²³ M. Greco,^{53a,53c} M. H. Gu,^{1,a} S. Gu,¹⁵ Y. T. Gu,¹² A. Q. Guo,¹ L. B. Guo,²⁹ R. P. Guo,^{1,*} Y. P. Guo,²³ Z. Haddadi,²⁶ S. Han,⁵⁵ X. Q. Hao,¹⁵ F. A. Harris,⁴⁵ K. L. He,¹ X. Q. He,⁴⁹ F. H. Heinsius,⁴ T. Held,⁴ Y. K. Heng,^{1,a} T. Holtmann,⁴ Z. L. Hou,¹ C. Hu,²⁹ H. M. Hu,¹ T. Hu,^{1,a} Y. Hu,¹ G. S. Huang,^{50,a} J. S. Huang,¹⁵ X. T. Huang,³⁴ X. Z. Huang,³⁰ Z. L. Huang,²⁸ T. Hussain,⁵² W. Ikegami Andersson,⁵⁴ Q. Ji,¹ Q. P. Ji,¹⁵ X. B. Ji,¹ X. L. Ji,^{1,a} X. S. Jiang,^{1,a} X. Y. Jiang,³¹ J. B. Jiao,³⁴ Z. Jiao,¹⁷ D. P. Jin,^{1,a} S. Jin,¹ Y. Jin,⁴⁶ T. Johansson,⁵⁴ A. Julin,⁴⁷ N. Kalantar-Nayestanaki,²⁶ X. L. Kang,¹ X. S. Kang,³¹ M. Kavatsyuk,²⁶ B. C. Ke,⁵ T. Khan,^{50,a} A. Khoukaz,⁴⁸ P. Kiese,²³ R. Kliemt,¹⁰ L. Koch,²⁵ O. B. Kolcu,^{43b,h} B. Kopf,⁴ M. Kornicer,⁴⁵ M. Kuemmel,⁴ M. Kuhlmann,⁴ A. Kupsc,⁵⁴ W. Kühn,²⁵ J. S. Lange,²⁵ M. Lara,¹⁹ P. Larin,¹⁴ L. Lavezzi,^{53c,1} H. Leithoff,²³ C. Leng,^{53c} C. Li,⁵⁴ Cheng Li,^{50,a} D. M. Li,⁵⁷ F. Li,^{1,a} F. Y. Li,³² G. Li,¹ H. B. Li,¹ H. J. Li,¹ J. C. Li,¹ Jin Li,³³ K. Li,¹³ K. Li,³⁴ K. J. Li,⁴¹ Lei Li,³ P. L. Li,^{50,a} P. R. Li,^{7,44} Q. Y. Li,³⁴ T. Li,³⁴ W. D. Li,¹ W. G. Li,¹ X. L. Li,³⁴ X. N. Li,^{1,a} X. Q. Li,³¹ Z. B. Li,⁴¹ H. Liang,^{50,a} Y. F. Liang,³⁷ Y. T. Liang,²⁵ G. R. Liao,¹¹ D. X. Lin,¹⁴ B. Liu,^{35,j} B. J. Liu,¹ C. X. Liu,¹ D. Liu,^{50,a} F. H. Liu,³⁶ Fang Liu,¹ Feng Liu,⁶ H. B. Liu,¹² H. H. Liu,¹⁶ H. H. Liu,¹ H. M. Liu,¹ J. B. Liu,^{50,a} J. P. Liu,⁵⁵ J. Y. Liu,¹ K. Liu,⁴² K. Y. Liu,²⁸ Ke Liu,⁶ L. D. Liu,³² P. L. Liu,^{1,a} Q. Liu,⁴⁴ S. B. Liu,^{50,a} X. Liu,²⁷ Y. B. Liu,³¹ Z. A. Liu,^{1,a} Zhiqing Liu,²³ Y. F. Long,³² X. C. Lou,^{1,a,g} H. J. Lu,¹⁷ J. G. Lu,^{1,a} Y. Lu,¹ Y. P. Lu,^{1,a} C. L. Luo,²⁹ M. X. Luo,⁵⁶ X. L. Luo,^{1,a} X. R. Lyu,⁴⁴ F. C. Ma,²⁸ H. L. Ma,¹ L. L. Ma,³⁴ M. M. Ma,¹ Q. M. Ma,¹ T. Ma,¹ X. N. Ma,³¹ X. Y. Ma,^{1,a} Y. M. Ma,³⁴ F. E. Maas,¹⁴ M. Maggiora,^{53a,53c} A. S. Magnoni,^{20b} Q. A. Malik,⁵² Y. J. Mao,³² Z. P. Mao,¹ S. Marcello,^{53a,53c} Z. X. Meng,⁴⁶ J. G. Messchendorp,²⁶ G. Mezzadri,^{21b} J. Min,^{1,a} T. J. Min,¹ R. E. Mitchell,¹⁹ X. H. Mo,^{1,a} Y. J. Mo,⁶ C. Morales Morales,¹⁴ G. Morello,^{20a} N. Yu. Muchnoi,^{9,e} H. Muramatsu,⁴⁷ P. Musiol,⁴ A. Mustafa,⁴ Y. Nefedov,²⁴ F. Nerling,¹⁰ I. B. Nikolaev,^{9,e} Z. Ning,^{1,a} S. Nisar,⁸ S. L. Niu,^{1,a} X. Y. Niu,¹ S. L. Olsen,³³ Q. Ouyang,^{1,a} S. Pacetti,^{20b} Y. Pan,^{50,a} M. Papenbrock,⁵⁴ P. Patteri,^{20a} M. Pelizaeus,⁴ J. Pellegrino,^{53a,53c} H. P. Peng,^{50,a} K. Peters,^{10,i} J. Pettersson,⁵⁴ J. L. Ping,²⁹ R. G. Ping,¹ R. Poling,⁴⁷ V. Prasad,^{40,50} H. R. Qi,² M. Qi,³⁰ S. Qian,^{1,a} C. F. Qiao,⁴⁴ N. Qin,⁵⁵ X. S. Qin,¹ Z. H. Qin,^{1,a} J. F. Qiu,¹ K. H. Rashid,^{52,k} C. F. Redmer,²³ M. Richter,⁴ M. Ripka,²³ M. Rolo,^{53c} G. Rong,¹ Ch. Rosner,¹⁴ X. D. Ruan,¹² A. Sarantsev,^{24,f} M. Savrié,^{21b} C. Schnier,⁴ K. Schoenning,⁵⁴ W. Shan,³² M. Shao,^{50,a} C. P. Shen,² P. X. Shen,³¹ X. Y. Shen,¹ H. Y. Sheng,¹ J. J. Song,³⁴ W. M. Song,³⁴ X. Y. Song,¹ S. Sosio,^{53a,53c} C. Sowa,⁴ S. Spataro,^{53a,53c} G. X. Sun,¹ J. F. Sun,¹⁵ L. Sun,⁵⁵ S. S. Sun,¹ X. H. Sun,¹ Y. J. Sun,^{50,a} Y. K. Sun,^{50,a} Y. Z. Sun,¹ Z. J. Sun,^{1,a} Z. T. Sun,¹⁹ C. J. Tang,³⁷ G. Y. Tang,¹ X. Tang,¹ I. Tapan,^{43c} M. Tiemens,²⁶ B. T. Tsednee,²² I. Uman,^{43d} G. S. Varner,⁴⁵ B. Wang,¹ B. L. Wang,⁴⁴ D. Wang,³² D. Y. Wang,³² Dan Wang,⁴⁴ K. Wang,^{1,a} L. L. Wang,¹ L. S. Wang,¹ M. Wang,³⁴ P. Wang,¹ P. L. Wang,¹ W. P. Wang,^{50,a} X. F. Wang,⁴² Y. D. Wang,¹⁴ Y. F. Wang,^{1,a} Y. Q. Wang,²³ Z. Wang,^{1,a} Z. G. Wang,^{1,a} Z. H. Wang,^{50,a} Z. Y. Wang,¹ Z. Y. Wang,¹ T. Weber,²³ D. H. Wei,¹¹ J. H. Wei,³¹ P. Weidenkaff,²³ S. P. Wen,¹ U. Wiedner,⁴ M. Wolke,⁵⁴ L. H. Wu,¹ L. J. Wu,¹ Z. Wu,^{1,a} L. Xia,^{50,a} Y. Xia,¹⁸ D. Xiao,¹ H. Xiao,⁵¹ Y. J. Xiao,¹ Z. J. Xiao,²⁹ Y. G. Xie,^{1,a} Y. H. Xie,⁶ X. A. Xiong,¹ Q. L. Xiu,^{1,a} G. F. Xu,¹ J. J. Xu,¹ L. Xu,¹ Q. J. Xu,¹³ Q. N. Xu,⁴⁴ X. P. Xu,³⁸ L. Yan,^{53a,53c} W. B. Yan,^{50,a} W. C. Yan,² Y. H. Yan,¹⁸ H. J. Yang,^{35,j} H. X. Yang,¹ L. Yang,⁵⁵ Y. H. Yang,³⁰ Y. X. Yang,¹¹ M. Ye,^{1,a} M. H. Ye,⁷ J. H. Yin,¹ Z. Y. You,⁴¹ B. X. Yu,^{1,a} C. X. Yu,³¹ J. S. Yu,²⁷ C. Z. Yuan,¹ Y. Yuan,¹ A. Yuncu,^{43b,b} A. A. Zafar,⁵² Y. Zeng,¹⁸ Z. Zeng,^{50,a} B. X. Zhang,¹ B. Y. Zhang,^{1,a} C. C. Zhang,¹ D. H. Zhang,¹ H. H. Zhang,⁴¹ H. Y. Zhang,^{1,a} J. Zhang,¹ J. L. Zhang,¹ J. Q. Zhang,¹ J. W. Zhang,^{1,a} J. Y. Zhang,¹ J. Z. Zhang,¹ K. Zhang,¹ L. Zhang,⁴² S. Q. Zhang,³¹ X. Y. Zhang,³⁴ Y. Zhang,¹ Y. Zhang,¹ Y. H. Zhang,^{1,a} Y. T. Zhang,^{50,a} Yu Zhang,⁴⁴ Z. H. Zhang,⁶ Z. P. Zhang,⁵⁰ Z. Y. Zhang,⁵⁵ G. Zhao,¹ J. W. Zhao,^{1,a} J. Y. Zhao,¹ J. Z. Zhao,^{1,a} Lei Zhao,^{50,a} Ling Zhao,¹ M. G. Zhao,³¹ Q. Zhao,¹ S. J. Zhao,⁵⁷ T. C. Zhao,¹ Y. B. Zhao,^{1,a} Z. G. Zhao,^{50,a} A. Zhemchugov,^{24,c} B. Zheng,^{14,51} J. P. Zheng,^{1,a} W. J. Zheng,³⁴ Y. H. Zheng,⁴⁴ B. Zhong,²⁹

L. Zhou,^{1,a} X. Zhou,⁵⁵ X. K. Zhou,^{50,a} X. R. Zhou,^{50,a} X. Y. Zhou,¹ Y. X. Zhou,^{12,a} J. Zhu,⁴¹ K. Zhu,¹
 K. J. Zhu,^{1,a} S. Zhu,¹ S. H. Zhu,⁴⁹ X. L. Zhu,⁴² Y. C. Zhu,^{50,a} Y. S. Zhu,¹ Z. A. Zhu,¹
 J. Zhuang,^{1,a} B. S. Zou,¹ and J. H. Zou¹

(BESIII Collaboration)

- ¹*Institute of High Energy Physics, Beijing 100049, People's Republic of China*
²*Beihang University, Beijing 100191, People's Republic of China*
³*Beijing Institute of Petrochemical Technology, Beijing 102617, People's Republic of China*
⁴*Bochum Ruhr-University, D-44780 Bochum, Germany*
⁵*Carnegie Mellon University, Pittsburgh, Pennsylvania 15213, USA*
⁶*Central China Normal University, Wuhan 430079, People's Republic of China*
⁷*China Center of Advanced Science and Technology, Beijing 100190, People's Republic of China*
⁸*COMSATS Institute of Information Technology,
 Lahore, Defence Road, Off Raiwind Road, 54000 Lahore, Pakistan*
⁹*G.I. Budker Institute of Nuclear Physics SB RAS (BINP), Novosibirsk 630090, Russia*
¹⁰*GSI Helmholtzcentre for Heavy Ion Research GmbH, D-64291 Darmstadt, Germany*
¹¹*Guangxi Normal University, Guilin 541004, People's Republic of China*
¹²*Guangxi University, Nanning 530004, People's Republic of China*
¹³*Hangzhou Normal University, Hangzhou 310036, People's Republic of China*
¹⁴*Helmholtz Institute Mainz, Johann-Joachim-Becher-Weg 45, D-55099 Mainz, Germany*
¹⁵*Henan Normal University, Xinxing 453007, People's Republic of China*
¹⁶*Henan University of Science and Technology, Luoyang 471003, People's Republic of China*
¹⁷*Huangshan College, Huangshan 245000, People's Republic of China*
¹⁸*Hunan University, Changsha 410082, People's Republic of China*
¹⁹*Indiana University, Bloomington, Indiana 47405, USA*
^{20a}*INFN Laboratori Nazionali di Frascati, I-00044 Frascati, Italy*
^{20b}*INFN and University of Perugia, I-06100 Perugia, Italy*
^{21a}*INFN Sezione di Ferrara, I-44122 Ferrara, Italy*
^{21b}*University of Ferrara, I-44122 Ferrara, Italy*
²²*Institute of Physics and Technology, Peace Ave. 54B, Ulaanbaatar 13330, Mongolia*
²³*Johannes Gutenberg University of Mainz, Johann-Joachim-Becher-Weg 45, D-55099 Mainz, Germany*
²⁴*Joint Institute for Nuclear Research, 141980 Dubna, Moscow region, Russia*
²⁵*Justus-Liebig-Universitaet Giessen, II. Physikalisches Institut,
 Heinrich-Buff-Ring 16, D-35392 Giessen, Germany*
²⁶*KVI-CART, University of Groningen, NL-9747 AA Groningen, The Netherlands*
²⁷*Lanzhou University, Lanzhou 730000, People's Republic of China*
²⁸*Liaoning University, Shenyang 110036, People's Republic of China*
²⁹*Nanjing Normal University, Nanjing 210023, People's Republic of China*
³⁰*Nanjing University, Nanjing 210093, People's Republic of China*
³¹*Nankai University, Tianjin 300071, People's Republic of China*
³²*Peking University, Beijing 100871, People's Republic of China*
³³*Seoul National University, Seoul 151-747, Korea*
³⁴*Shandong University, Jinan 250100, People's Republic of China*
³⁵*Shanghai Jiao Tong University, Shanghai 200240, People's Republic of China*
³⁶*Shanxi University, Taiyuan 030006, People's Republic of China*
³⁷*Sichuan University, Chengdu 610064, People's Republic of China*
³⁸*Soochow University, Suzhou 215006, People's Republic of China*
³⁹*Southeast University, Nanjing 211100, People's Republic of China*
⁴⁰*State Key Laboratory of Particle Detection and Electronics,
 Beijing 100049, Hefei 230026, People's Republic of China*
⁴¹*Sun Yat-Sen University, Guangzhou 510275, People's Republic of China*
⁴²*Tsinghua University, Beijing 100084, People's Republic of China*
^{43a}*Ankara University, 06100 Tandogan, Ankara, Turkey*
^{43b}*Istanbul Bilgi University, 34060 Eyup, Istanbul, Turkey*
^{43c}*Uludag University, 16059 Bursa, Turkey*
^{43d}*Near East University, Nicosia, North Cyprus, Mersin 10, Turkey*
⁴⁴*University of Chinese Academy of Sciences, Beijing 100049, People's Republic of China*
⁴⁵*University of Hawaii, Honolulu, Hawaii 96822, USA*

⁴⁶University of Jinan, Jinan 250022, People's Republic of China⁴⁷University of Minnesota, Minneapolis, Minnesota 55455, USA⁴⁸University of Muenster, Wilhelm-Klemm-Str. 9, 48149 Muenster, Germany⁴⁹University of Science and Technology Liaoning, Anshan 114051, People's Republic of China⁵⁰University of Science and Technology of China, Hefei 230026, People's Republic of China⁵¹University of South China, Hengyang 421001, People's Republic of China⁵²University of the Punjab, Lahore-54590, Pakistan^{53a}University of Turin, I-10125 Turin, Italy^{53b}University of Eastern Piedmont, I-15121 Alessandria, Italy^{53c}INFN, I-10125 Turin, Italy⁵⁴Uppsala University, Box 516, SE-75120 Uppsala, Sweden⁵⁵Wuhan University, Wuhan 430072, People's Republic of China⁵⁶Zhejiang University, Hangzhou 310027, People's Republic of China⁵⁷Zhengzhou University, Zhengzhou 450001, People's Republic of China

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By analyzing 482 pb⁻¹ of e^+e^- collision data collected at the center-of-mass energy $\sqrt{s} = 4.009$ GeV with the BESIII detector, we measure the branching fractions for the semi-leptonic decays $D_s^+ \rightarrow \phi e^+ \nu_e$, $\phi \mu^+ \nu_\mu$, $\eta \mu^+ \nu_\mu$ and $\eta' \mu^+ \nu_\mu$ to be $\mathcal{B}(D_s^+ \rightarrow \phi e^+ \nu_e) = (2.26 \pm 0.45 \pm 0.09)\%$, $\mathcal{B}(D_s^+ \rightarrow \phi \mu^+ \nu_\mu) = (1.94 \pm 0.53 \pm 0.09)\%$, $\mathcal{B}(D_s^+ \rightarrow \eta \mu^+ \nu_\mu) = (2.42 \pm 0.46 \pm 0.11)\%$ and $\mathcal{B}(D_s^+ \rightarrow \eta' \mu^+ \nu_\mu) = (1.06 \pm 0.54 \pm 0.07)\%$, where the first and second uncertainties are statistical and systematic, respectively. The branching fractions for the three semi-muonic decays $D_s^+ \rightarrow \phi \mu^+ \nu_\mu$, $\eta \mu^+ \nu_\mu$ and $\eta' \mu^+ \nu_\mu$ are determined for the first time and that of $D_s^+ \rightarrow \phi e^+ \nu_e$ is consistent with the world average value within uncertainties.

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I. INTRODUCTION

The semi-leptonic (SL) decays of charmed mesons ($D^{0(+)}$ and D_s^+) provide an ideal window to explore heavy quark decays, as the strong and weak effects can be well separated in theory. The operator product expansion (OPE) model predicts that the partial widths of the inclusive SL decays of $D^{0(+)}$ and D_s^+ mesons should be equal, up to nonfactorizable components [1]. However, the CLEO Collaboration reported a deviation 18% for inclusive partial widths between $D^{0(+)}$ and D_s^+ SL decays, which is more than 3 times of the experimental uncertainties [2]. Reference [3] argues that this deviation may be due to that the spectator quark masses m_u and m_s differ on the scale of the daughter quark mass m_s in the Cabibbo-favored SL transition. Therefore, comprehensive or improved measurements of the branching fractions (BFs) for the exclusive SL decays of $D^{0(+)}$ and D_s^+ will benefit the understanding of this difference. Also, these measurements can serve to verify the theoretical calculations on these decay rates.

In recent years, the $D^{0(+)}$ SL decays have been well studied with good precision [4]. However, the progress in the studies of the D_s^+ SL decays is still relatively slow. Up to now, only D_s^+ semi-electronic decays have been investigated by various experiments [5–8] and no measurements of D_s^+ semi-muonic decays have been reported. We here report the first measurements of the BFs of the semi-muonic decays $D_s^+ \rightarrow \eta \mu^+ \nu_\mu$, $\eta' \mu^+ \nu_\mu$ and $\phi \mu^+ \nu_\mu$ as well as

*Corresponding author.

guorp@ihep.ac.cn

†chenjc@ihep.ac.cn

^aAlso at State Key Laboratory of Particle Detection and Electronics, Beijing 100049, Hefei 230026, People's Republic of China.^bAlso at Bogazici University, 34342 Istanbul, Turkey.^cAlso at the Moscow Institute of Physics and Technology, Moscow 141700, Russia.^dAlso at the Functional Electronics Laboratory, Tomsk State University, Tomsk, 634050, Russia.^eAlso at the Novosibirsk State University, Novosibirsk, 630090, Russia.^fAlso at the NRC “Kurchatov Institute,” PNPI, 188300, Gatchina, Russia.^gAlso at University of Texas at Dallas, Richardson, TX 75083, USA.^hAlso at Istanbul Arel University, 34295 Istanbul, Turkey.ⁱAlso at Goethe University Frankfurt, 60323 Frankfurt am Main, Germany.^jAlso at Key Laboratory for Particle Physics, Astrophysics and Cosmology, Ministry of Education; Shanghai Key Laboratory for Particle Physics and Cosmology; Institute of Nuclear and Particle Physics, Shanghai 200240, People's Republic of China.^kAlso at Government College Women University, Sialkot 51310 Punjab, Pakistan.

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a measurement of the BF of the semi-electronic decay $D_s^+ \rightarrow \phi e^+ \nu_e$. Charge-conjugate decays are implied throughout this paper, unless otherwise stated. Among them, the studies of $D_s^+ \rightarrow \eta^{(\prime)} \mu^+ \nu_\mu$ may also shed light on $\eta - \eta'$ -glueball mixing [9], as their decay rates are expected to be sensitive to the $\eta - \eta'$ mixing angle [10]. Moreover, in the SM, due to lepton universality (LU), the meson decays involving the same hadronic final states and different generation leptons are expected to have the same BF with uncertainty [11–16]. Recently, independent hints of violation in LU have been observed in the SL decays $B \rightarrow D^{(*)} \ell^+ \nu_\ell$ ($\ell = e, \mu$ or τ) [17–22] and $B_{(s)} \rightarrow K^{(*)}(\phi) \ell^+ \ell^-$ ($\ell = e$ or μ) [23–26]. Any violation of LU may be induced by new physics beyond the SM [14–16].

In this paper, all measurements are performed by analyzing the same data set as used in our previous measurements of $D_s^+ \rightarrow \eta^{(\prime)} e^+ \nu_e$ [8]. This data set, corresponding to an integrated luminosity of 482 pb^{-1} [27], was collected at the center-of-mass energy $\sqrt{s} = 4.009 \text{ GeV}$ with the BESIII detector.

II. BESIII AND MONTE CARLO

BESIII is a cylindrical spectrometer that is composed of a Helium-gas based main drift chamber (MDC), a plastic scintillator time-of-flight (TOF) system, a CsI (TI) electromagnetic calorimeter (EMC), a superconducting solenoid providing a 1.0 T magnetic field, and a muon counter in the iron flux return yoke of the magnet. The momentum resolution of charged tracks in the MDC is 0.5% at a transverse momentum of 1 GeV/c, and the photon energy resolution is 2.5%(5.0%) at an energy of 1 GeV in the barrel (end cap) of the EMC. More details about the BESIII detector are described in Ref. [28].

A GEANT4-based [29] Monte Carlo (MC) simulation software, which includes the geometric description of the BESIII detector and its response, is used to determine detection efficiencies and estimate background contributions. The simulation is implemented by the MC event generator KKMC [30] using EvtGen [31,32], including the beam energy spread and the effects of initial-state radiation (ISR) [33]. Final-state radiation of the charged tracks is simulated with the PHOTOS package [34]. An inclusive MC sample corresponding to an integrated luminosity of 11 fb^{-1} is generated at $\sqrt{s} = 4.009 \text{ GeV}$, which includes open charm production, ISR production of low-mass vector charmonium states, continuum light quark production, $\psi(4040)$ decays and QED events. The open charm processes are simulated with cross sections taken from Ref. [35]. The known decay modes of the charmonium states are produced by EvtGen with the BFs quoted from the Particle Data Group (PDG) [4], and the unknown decay modes are simulated by the LundCharm generator [36]. The SL decays of interest are simulated incorporating with the ISGW2 form-factor model [3].

III. DATA ANALYSIS

In e^+e^- collisions at $\sqrt{s} = 4.009 \text{ GeV}$, D_s^+ and D_s^- mesons can only be pair produced without additional hadrons. Thus in an event where a D_s^- meson [called single-tag (ST) D_s^- meson] is fully reconstructed, the presence of a D_s^+ meson is guaranteed. In the systems recoiling against the ST D_s^- mesons, we can select the SL decays of interest [called double-tag (DT) events]. For a specific ST mode i , the observed yields of ST (N_{ST}^i) and DT (N_{DT}^i) are given by

$$N_{\text{ST}}^i = 2N_{D_s^+ D_s^-} \mathcal{B}_{\text{ST}}^i \epsilon_{\text{ST}}^i \quad (1)$$

and

$$N_{\text{DT}}^i = 2N_{D_s^+ D_s^-} \mathcal{B}_{\text{ST}}^i \mathcal{B}_{\text{SL}} \epsilon_{\text{DT}}^i, \quad (2)$$

respectively. Here $N_{D_s^+ D_s^-}$ is the total number of $D_s^+ D_s^-$ pairs produced in data, $\mathcal{B}_{\text{ST}}^i$ and \mathcal{B}_{SL} are the BFs for the ST mode i and the SL decay of interest, ϵ_{ST}^i is the efficiency of reconstructing the ST mode i (called the ST efficiency), and ϵ_{DT}^i is the efficiency of simultaneously finding the ST mode i and the SL decay (called the DT efficiency). The efficiency of ST and DT are determined by MC simulation. In this analysis, the ST D_s^- mesons are reconstructed in ten hadronic decay modes: $K^+ K^- \pi^-$, $\phi \rho^-$, $K_S^0 K^+ \pi^- \pi^-$, $K_S^0 K^- \pi^+ \pi^-$, $K_S^0 K^-$, $\pi^+ \pi^- \pi^-$, $\eta \pi^-$, $\eta'_{\pi^+ \pi^-} \pi^-$, $\eta'_{\rho^0} \pi^-$ and $\eta \rho^-$. Candidates of K_S^0 , π^0 , η , ϕ , ρ^- , $\eta'_{\pi^+ \pi^-}$ and η'_{ρ^0} are selected using $K_S^0 \rightarrow \pi^+ \pi^-$, $\pi^0 \rightarrow \gamma \gamma$, $\eta \rightarrow \gamma \gamma$, $\phi \rightarrow K^+ K^-$, $\rho^- \rightarrow \pi^0 \pi^-$, $\eta' \rightarrow \pi^+ \pi^- \eta$ and $\eta' \rightarrow \gamma \rho^0$ decays, respectively. The ST modes are selected separately according to their charges. Based on Eq. (1) and Eq. (2), the BF of the SL decay can be determined according to

$$\mathcal{B}_{\text{SL}} = \frac{N_{\text{DT}}^{\text{tot}}}{N_{\text{ST}}^{\text{tot}} \bar{\epsilon}_{\text{SL}}}, \quad (3)$$

by considering the multiple ST modes, where $N_{\text{DT}}^{\text{tot}}$ and $N_{\text{ST}}^{\text{tot}}$ are the total yields of ST and DT events for multiple ST modes, $\bar{\epsilon}_{\text{SL}} = \sum_i (N_{\text{ST}}^i \epsilon_{\text{DT}}^i / \epsilon_{\text{ST}}^i) / N_{\text{ST}}^{\text{tot}}$ is the weighted efficiency of detecting the SL decay for the multi-ST mode according to the yields of different ST modes.

All charged tracks are required to be within a polar-angle (θ) range of $|\cos \theta| < 0.93$. The charged tracks, except for those from K_S^0 decays, are required to originate within an interaction region defined by $V_{xy} < 1.0 \text{ cm}$ and $|V_z| < 10.0 \text{ cm}$, where V_{xy} and $|V_z|$ are the distances of closest approach of the reconstructed track to the interaction point (IP) perpendicular to and along the beam direction, respectively. Particle identification (PID) is accomplished with the ionization energy loss (dE/dx) measured by the MDC and the time of flight recorded by the TOF. For each charged track, the combined

confidence levels for pion and kaon hypotheses (CL_π and CL_K) are calculated, respectively. A pion (kaon) is identified by requiring $CL_\pi > 0$ and $CL_\pi > CL_K$ ($CL_K > 0$ and $CL_K > CL_\pi$). The K_S^0 candidates are reconstructed with two oppositely charged tracks, which satisfy $|V_z| < 20$ cm and are assumed to be pions without PID. A vertex constrained fit is performed to the $\pi^+\pi^-$ combinations, and the fitted track parameters are used in the further analysis. The distance L of the secondary vertex to the IP is also required to be positive with respect to the K_S^0 flight direction. K_S^0 candidates are required to have $\pi^+\pi^-$ invariant mass within (0.485, 0.511) GeV/ c^2 . Photon candidates are chosen from isolated clusters in the EMC. The deposited energy of a neutral cluster is required to be larger than 25 MeV in the barrel region ($|\cos\theta| < 0.80$) or 50 MeV in the end-cap region ($0.86 < |\cos\theta| < 0.92$). The angle between the photon candidate and the nearest charged track should be larger than 10° . To suppress electronic noise and energy deposits unrelated to the events, the difference between the EMC scintillation time and the event start time is required to be within (0, 700) ns. The π^0 and η candidates are reconstructed with $\gamma\gamma$ pair with invariant mass within (0.115, 0.150) and (0.510, 0.570) GeV/ c^2 . To improve momentum resolution, a kinematic fit is performed to constrain the $\gamma\gamma$ invariant mass to the nominal π^0 or η mass, and the fitted momenta of π^0 or η are used in the further analysis. To select candidates of ϕ , ρ^- , $\eta'_{\pi^+\pi^-\eta}$ and $\eta'_{\gamma\rho^0}$ mesons, the invariant masses of K^+K^- , $\pi^-\pi^0$, $\pi^+\pi^-\eta$ and $\gamma\rho^0$ are required to be within

(1.005, 1.040), (0.570, 0.970), (0.943, 0.973) and (0.932, 0.980) GeV/ c^2 , respectively. For $\eta'_{\gamma\rho^0}$ candidate, the $\pi^+\pi^-$ invariant mass is additionally required to fall in (0.570, 0.970) GeV/ c^2 to reduce combinatorial backgrounds. The invariant mass requirements all correspond to $(-3, +3)$ times of the resolution.

The ST D_s^- meson is identified using the energy difference $\Delta E \equiv E_{D_s^-} - E_{\text{beam}}$ and the beam-constrained mass $M_{\text{BC}} \equiv \sqrt{E_{\text{beam}}^2 - |\vec{p}_{D_s^-}|^2}$, where E_{beam} is the beam energy, $E_{D_s^-}$ and $|\vec{p}_{D_s^-}|$ are the total energy and momentum of the ST D_s^- candidate in the e^+e^- center-of-mass frame. For each ST mode, only the one with the minimum $|\Delta E|$ is retained if there are multiple combinations in an event. To suppress combinatorial backgrounds, modes dependent ΔE requirements, which correspond to $(-3.0, +3.0)$ times of the resolution around the fitted ΔE peak, are imposed on the ST D_s^- candidates. Figure 1 shows the M_{BC} distributions of D_s^- candidates for individual ST mode. To obtain the ST yield (N_{ST}^i), we perform a maximum likelihood fit on these M_{BC} distributions. In the fits, we use the MC-simulated signal shape convoluted with a Gaussian function to model the D_s^- signals and an ARGUS function [37] to describe the combinatorial backgrounds. The events with M_{BC} within a mass window of $(-4.0, +5.0)$ times of the resolution around the D_s^- nominal mass [4] (called M_{BC} signal region) are kept for further analysis. For each ST mode, the ST yield is obtained by integrating the D_s^- signal over the corresponding M_{BC} signal region. The ST efficiency for the individual mode (ϵ_{ST}^i) is determined by

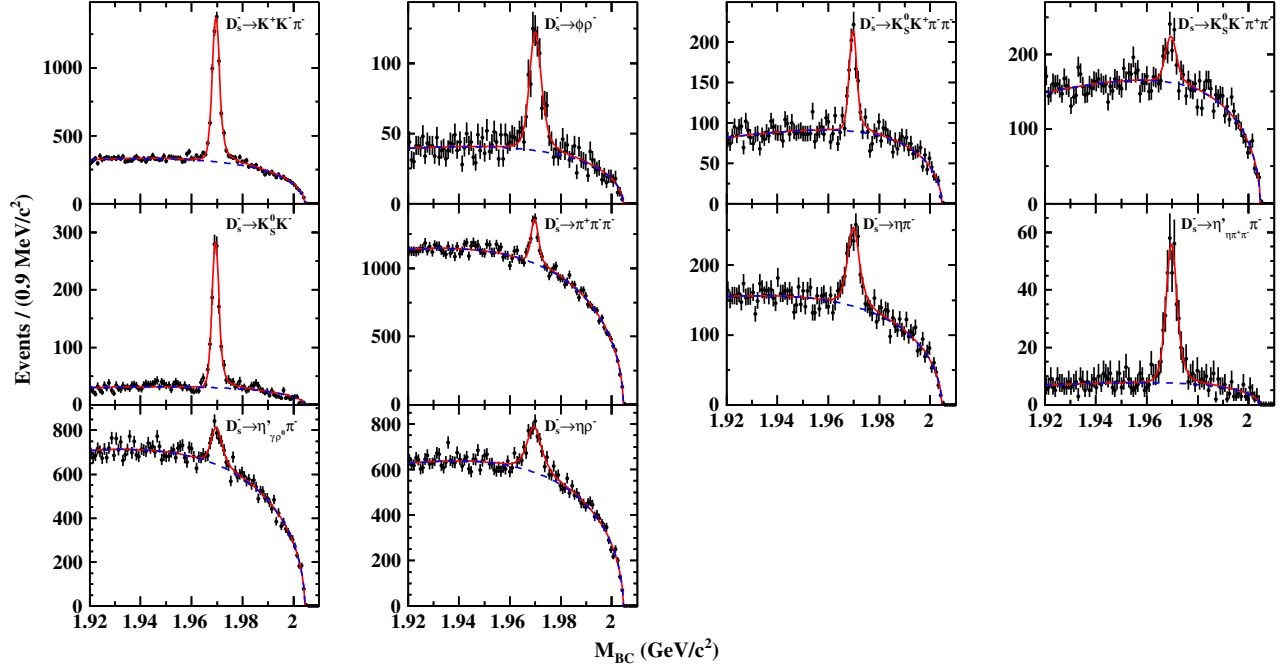


FIG. 1. Fits to the M_{BC} distributions of the ST D_s^- decay modes. The dots with error bars are data, the red solid curves represent the total fits, and the blue dashed curves describe the fitted backgrounds.

TABLE I. Summary of the requirements on ΔE and M_{BC} , the ST yields in data (N_{ST}) and the ST efficiencies (ϵ_{ST}), which do not include the BF's for daughter particles π^0 , K_S^0 , ϕ , η and η' for the individual ST mode. The uncertainties are statistical only.

ST Mode	ΔE (GeV)	M_{BC} (GeV/ c^2)	N_{ST}^i	ϵ_{ST}^i (%)
$D_s^- \rightarrow K^+ K^- \pi^-$	(-0.020, 0.017)	(1.9635, 1.9772)	4820 ± 95	39.95 ± 0.09
$D_s^- \rightarrow \phi \rho^-$	(-0.036, 0.023)	(1.9603, 1.9820)	619 ± 39	10.88 ± 0.07
$D_s^- \rightarrow K_S^0 K^+ \pi^- \pi^-$	(-0.018, 0.014)	(1.9632, 1.9781)	581 ± 40	24.05 ± 0.17
$D_s^- \rightarrow K_S^0 K^- \pi^+ \pi^-$	(-0.016, 0.012)	(1.9621, 1.9777)	400 ± 50	22.51 ± 0.22
$D_s^- \rightarrow K_S^0 K^-$	(-0.019, 0.020)	(1.9640, 1.9761)	1065 ± 38	46.89 ± 0.21
$D_s^- \rightarrow \pi^+ \pi^- \pi^-$	(-0.026, 0.022)	(1.9624, 1.9787)	1500 ± 125	54.35 ± 0.19
$D_s^- \rightarrow \eta \pi^-$	(-0.052, 0.058)	(1.9599, 1.9823)	834 ± 56	48.36 ± 0.27
$D_s^- \rightarrow \eta'_{\pi^+ \pi^-} \pi^-$	(-0.025, 0.024)	(1.9602, 1.9814)	325 ± 22	23.47 ± 0.22
$D_s^- \rightarrow \eta'_{\gamma \rho^0} \pi^-$	(-0.041, 0.033)	(1.9611, 1.9803)	1110 ± 106	37.11 ± 0.18
$D_s^- \rightarrow \eta \rho^-$	(-0.058, 0.041)	(1.9576, 1.9844)	1838 ± 120	26.11 ± 0.10
Total			13092 ± 247	

analyzing the inclusive MC sample. Table I summarizes the requirements on ΔE and M_{BC} , the ST yields in data and the ST efficiencies. The total ST yield (N_{ST}^{tot}) is 13092 ± 247 .

The SL decays $D_s^+ \rightarrow \phi e^+ \nu_e$, $\phi \mu^+ \nu_\mu$, $\eta \mu^+ \nu_\mu$ and $\eta' \mu^+ \nu_\mu$ are selected recoiling against the ST D_s^- mesons. The charge of the electron (muon) candidate is required to be opposite to that of the ST D_s^- meson. For electron (muon) PID, the dE/dx , TOF and EMC information is used to form the combined confidence levels for electron, muon, pion and kaon hypotheses (CL_e , CL_μ , CL_π and CL_K). The electron candidates should satisfy $CL_e/(CL_e + CL_\pi + CL_K) > 0.8$ and $CL_e > 0.001$, while the muon candidates are required $CL_\mu > CL_e$, $CL_\mu > CL_K$ and $CL_\mu > 0.001$. It is required that there is no extra charged track except for those used in the DT event selection. For $D_s^+ \rightarrow \eta^{(\prime)} \mu^+ \nu_\mu$ decays, the energy deposited in the EMC by muon is required to be less than 300 MeV and the maximum energy ($E_{\text{extray}}^{\text{max}}$) of the extra photons, which are not used in the DT event selection, is required to be less than 200 MeV.

The undetected neutrino in the SL decay is inferred by a kinematic variable $U_{\text{miss}} \equiv E_{\text{miss}} - |\vec{p}_{\text{miss}}|$, where $E_{\text{miss}} \equiv \sqrt{s} - \sum_j E_j$ is the missing energy and $\vec{p}_{\text{miss}} \equiv -\sum_j \vec{p}_j$ is the missing momentum. Here, the index j runs over all the particles used in the DT event selection, E_j and \vec{p}_j are the energy and momentum of the j th particle in the e^+e^- rest frame. The U_{miss} distribution of the SL decay candidates is expected to peak near zero. To further suppress backgrounds from the hadronic decays $D_s^+ \rightarrow \phi(\eta, \eta') \pi^+$ and $\phi(\eta, \eta') \pi^+ \pi^0$ for semi-muonic decays, we define a variable $\delta E = E_{\text{beam}} - (E_{\phi(\eta, \eta')} + E_{\mu^+ \text{ as } \pi^+} + E_{\nu_\mu \text{ as } \pi^0})$, where $E_{\phi(\eta, \eta')}$ is the energy of $\phi(\eta, \eta')$ candidate, $E_{\mu^+ \text{ as } \pi^+}$ is the energy of μ^+ candidate by assuming it is pion, and $E_{\nu_\mu \text{ as } \pi^0}$ is the energy of missing particle by assuming to be π^0 (calculated with \vec{p}_{miss}). The DT candidate events are required to have δE within $(-0.080, -0.010)$, $(-0.100, 0)$, $(-0.070, -0.015)$ and $(-0.060, -0.015)$ GeV for $D_s^+ \rightarrow \phi \mu^+ \nu_\mu$,

$\eta \mu^+ \nu_\mu$, $\eta'_{\pi^+ \pi^-} \mu^+ \nu_\mu$ and $\eta'_{\gamma \rho^0} \mu^+ \nu_\mu$, respectively. Figure 2 shows the U_{miss} distributions of the accepted candidate events for the SL decays in data. The U_{miss} signal region is defined as $(-0.10, 0.10)$ GeV, in which we observe 28.0 ± 5.3 , 34.0 ± 5.8 , 64.0 ± 8.0 and 28.0 ± 5.3 candidate events for $D_s^+ \rightarrow \phi e^+ \nu_e$, $\phi \mu^+ \nu_\mu$, $\eta \mu^+ \nu_\mu$, and $\eta'_{\pi^+ \pi^-}$ and $\gamma \rho^0 \mu^+ \nu_\mu$, respectively.

Some background events may also survive the selection criteria of the SL decays of interest. The backgrounds can be classed into two categories. Those background events, in which the ST D_s^- meson is reconstructed correctly but the SL decay is misidentified, are defined as real- D_s^- background. The other background events, in which the ST D_s^- meson is reconstructed incorrectly, are called as non- D_s^- background. The number of real- D_s^- background events is

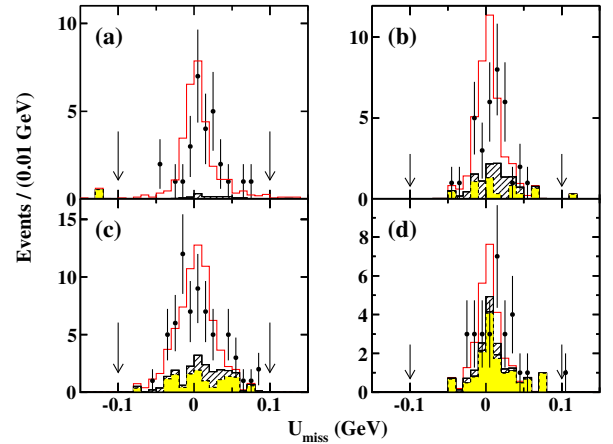


FIG. 2. Distributions of U_{miss} of the candidate events for $D_s^+ \rightarrow$ (a) $\phi e^+ \nu_e$, (b) $\phi \mu^+ \nu_\mu$, (c) $\eta \mu^+ \nu_\mu$ and (d) $\eta' \mu^+ \nu_\mu$ where the pair of arrows represent the signal region. The dots with error bars are data, the red histograms are inclusive MC, and the yellow and oblique-line hatched histograms represent the scaled “real- D_s^- ” and “non- D_s^- ” backgrounds.

TABLE II. The numbers used to extract the BF of SL decay as well as the resultant BF. The uncertainties are statistical only.

Decay Mode	$N_{\text{DT}}^{\text{obs}}$	$N_{\text{real-}D_s^-}^{\text{bkg}}$	$N_{\text{non-}D_s^-}^{\text{bkg}}$	$\bar{\epsilon}_{\text{SL}}$ (%)	\mathcal{B}_{SL} (%)
$D_s^+ \rightarrow \phi e^+ \nu_e$	28.0 ± 5.3	1.6 ± 0.2	$0.0^{+0.1}_{-0.0}$	18.2 ± 0.1	2.26 ± 0.45
$D_s^+ \rightarrow \phi \mu^+ \nu_\mu$	34.0 ± 5.8	6.8 ± 0.5	5.1 ± 1.6	17.8 ± 0.1	1.94 ± 0.53
$D_s^+ \rightarrow \eta \mu^+ \nu_\mu$	64.0 ± 8.0	7.0 ± 0.5	12.6 ± 2.6	35.6 ± 0.2	2.42 ± 0.46
$D_s^+ \rightarrow \eta' \mu^+ \nu_\mu$	28.0 ± 5.3	3.7 ± 0.4	14.0 ± 2.6	16.2 ± 0.1	1.06 ± 0.54

estimated by analyzing the inclusive MC sample. The non- D_s^- background yield is evaluated by using the events of data within the M_{BC} sideband, which is defined to be (1.920, 1.950) and (1.990, 2.000) GeV/c² on the M_{BC} distribution. The background yield in the M_{BC} sideband is then scaled by the ratio of the background integral areas between the M_{BC} signal region and sideband.

The DT yields observed in data ($N_{\text{DT}}^{\text{obs}}$), the expected number of real- D_s^- and non- D_s^- background ($N_{\text{real-}D_s^-}^{\text{bkg}}$ and $N_{\text{non-}D_s^-}^{\text{bkg}}$) as well as the weighted efficiencies of detecting the SL decays according to the ST yields of data ($\bar{\epsilon}_{\text{SL}}$) are summarized in Table II, where the efficiencies $\bar{\epsilon}_{\text{SL}}$ do not include the BF of ϕ , η and η' in the SL decays. So, the BF for the SL decays are determined by

$$\mathcal{B}_{\text{SL}} = \frac{N_{\text{DT}}^{\text{obs}} - N_{\text{real-}D_s^-}^{\text{bkg}} - N_{\text{non-}D_s^-}^{\text{bkg}}}{N_{\text{ST}}^{\text{tot}} \bar{\epsilon}_{\text{SL}} \mathcal{B}_{\text{sub}}}, \quad (4)$$

where \mathcal{B}_{sub} denotes the BF for the daughter particles ϕ , η and η' quoted from PDG [4]. Inserting the numbers of $N_{\text{DT}}^{\text{obs}}$, $N_{\text{real-}D_s^-}^{\text{bkg}}$, $N_{\text{non-}D_s^-}^{\text{bkg}}$, $N_{\text{ST}}^{\text{tot}}$, $\bar{\epsilon}_{\text{SL}}$ and \mathcal{B}_{sub} in Eq. (4), we obtain the BF for $D_s^+ \rightarrow \phi e^+ \nu_e$, $\phi \mu^+ \nu_\mu$, $\eta \mu^+ \nu_\mu$ and $\eta' \mu^+ \nu_\mu$, respectively. These results are summarized in Table II.

IV. SYSTEMATIC UNCERTAINTIES

In the BF measurements using DT method, the systematic uncertainties arising from the ST selection are almost canceled. Main systematic uncertainties in the measurements for BF of SL decays are discussed below.

- ST yield.* The uncertainty of the total ST yield is estimated to be 1.8% by comparing the fitted and counted ST yields (calculated by subtracting the background yields from total events without performing a fit) in the M_{BC} signal region.
- Tracking and PID.* The uncertainties in the tracking and PID for charged kaon and pion are investigated with the control sample of DT hadronic $D\bar{D}$ events and are assigned to be 1.0% and 1.0% per track individually. The efficiencies of the tracking and PID for electron and muon are studied by varying with the polar-angle $\cos\theta$ and momentum with the control samples $e^+e^- \rightarrow \gamma e^+e^-$ and $e^+e^- \rightarrow \gamma \mu^+\mu^-$ events, respectively. These efficiencies are weighted according to $\cos\theta$ and momentum distributions of the electron and muon in the SL decays. The resultant differences of the two-dimensional weighted tracking and PID efficiencies for electron and muon between data and MC simulation are regarded as the relevant uncertainties.

TABLE III. Systematic uncertainties (in %) in the BF measurements. The sources tagged with “c” are regarded as common systematic uncertainties between the two η' decay modes.

Source	$D_s^+ \rightarrow \phi e^+ \nu_e$	$D_s^+ \rightarrow \phi \mu^+ \nu_\mu$	$D_s^+ \rightarrow \eta \mu^+ \nu_\mu$	$D_s^+ \rightarrow \eta'_{\eta\pi^+\pi^-} \mu^+ \nu_\mu$	$D_s^+ \rightarrow \eta'_{\gamma\rho^0} \mu^+ \nu_\mu$
ST yield	1.8	1.8	1.8	1.8 ^c	1.8 ^c
Tracking for K^+ (π^+)	2.0	2.0	...	2.0 ^c	2.0 ^c
PID for K^+ (π^+)	2.0	2.0	...	2.0 ^c	2.0 ^c
Tracking for e^+ (μ^+)	1.0	1.0	1.0	1.0 ^c	1.0 ^c
PID for e^+ (μ^+)	0.9	2.4	1.5	1.9 ^c	1.9 ^c
$E_{\text{extray}}^{\text{max}}$ requirement	2.5	2.5 ^c	2.5 ^c
$\phi(\eta, \eta')$ reconstruction	0.4	0.4	2.3	2.5	2.8
δE requirement	...	0.7	1.2	1.7	1.8
Background subtraction	0.2	1.5	1.2	3.1	3.0
MC statistics	0.5	0.6	0.4	0.6	0.6
MC model	1.4	1.1	0.7	2.5	2.2
BFs of ϕ and $\eta(\eta')$	1.0	1.0	0.5	1.6	1.7
Total	4.0	4.8	4.7	7.0	7.1

TABLE IV. Summary of the BF's and comparing with the world average values [4].

μ^+ mode	$\mathcal{B}_{\text{BESIII}} (\%)$	$\mathcal{B}_{\text{PDG}} (\%)$	e^+ mode	$\mathcal{B}_{\text{BESIII}} (\%)$	$\mathcal{B}_{\text{PDG}} (\%)$
$D_s^+ \rightarrow \phi\mu^+\nu_\mu$	$1.94 \pm 0.53 \pm 0.09$...	$D_s^+ \rightarrow \phi e^+\nu_e$	$2.26 \pm 0.45 \pm 0.09$	2.39 ± 0.23
$D_s^+ \rightarrow \eta\mu^+\nu_\mu$	$2.42 \pm 0.46 \pm 0.11$...	$D_s^+ \rightarrow \eta e^+\nu_e$	$2.30 \pm 0.31 \pm 0.08$ [8]	2.28 ± 0.24
$D_s^+ \rightarrow \eta'\mu^+\nu_\mu$	$1.06 \pm 0.54 \pm 0.07$...	$D_s^+ \rightarrow \eta' e^+\nu_e$	$0.93 \pm 0.30 \pm 0.05$ [8]	0.68 ± 0.16

- (c) $E_{\text{extray}}^{\text{max}}$ requirement. The efficiency of $E_{\text{extray}}^{\text{max}}$ requirement is investigated with fully reconstructed DT hadronic decays $\psi(4040) \rightarrow D^* \bar{D} + \text{c.c.}$. The difference of the efficiencies with the requirement of $E_{\text{extray}}^{\text{max}} < 200$ MeV between data and MC simulation is found to be $(1.9 \pm 0.6)\%$. To be conservative, we assign 2.5% to be the associated systematic uncertainty.
- (d) $\phi(\eta, \eta')$ reconstruction. The reconstruction efficiencies for the ϕ , η and η' candidates, which include the mass window requirement and photon selection, are estimated with the control samples of $D^+ \rightarrow \phi\pi^+$, $D^0 \rightarrow K_S^0\eta$, $D^0 \rightarrow K_S^0\eta'_{\pi^+\pi^-}$ and $K_S^0\eta'_{\gamma\rho^0}$, respectively. The differences of efficiencies between data and MC simulation are estimated to be 0.4%, 2.3%, 2.5% and 2.8% for ϕ , η , $\eta'_{\pi^+\pi^-}$ and $\eta'_{\gamma\rho^0}$, respectively, which are assigned as the associated uncertainties.
- (e) δE requirement. The uncertainties from δE requirements are estimated by varying the δE requirements by $\pm 10\%$. The changes of the BF's, which are 0.7%, 1.2%, 1.7% and 1.8% for $D_s^+ \rightarrow \phi\mu^+\nu_\mu$, $\eta\mu^+\nu_\mu$, $\eta'_{\pi^+\pi^-}\mu^+\nu_\mu$ and $\eta'_{\gamma\rho^0}\mu^+\nu_\mu$, respectively, are taken as the corresponding uncertainties.
- (f) Background subtraction. Two aspects uncertainties associated with background subtraction are considered separately. The real- D_s^- background is estimated with the inclusive MC samples, thus, we vary the quoted BF's of the main background sources $D_s^+ \rightarrow \phi\mu^+\nu_\mu$, $\phi\rho^+$, $\eta\rho^+$, $\eta'_{\pi^+\pi^-}\rho^+$ and $\eta'_{\gamma\rho^0}\rho^+$ by 1σ quoted in PDG [4]. The non- D_s^- background is estimated with the candidate events in the M_{BC} sideband. We then shift the M_{BC} sideband by ± 5 MeV/ c^2 . The quadratic sum of these two effects on the measured BF's, which are 0.2%, 1.5%, 1.2%, 3.1% and 3.0% for $D_s^+ \rightarrow \phi e^+\nu_e$, $\phi\mu^+\nu_\mu$, $\eta\mu^+\nu_\mu$, $\eta'_{\pi^+\pi^-}\mu^+\nu_\mu$ and $\eta'_{\gamma\rho^0}\mu^+\nu_\mu$, respectively, are treated as the systematic uncertainties.
- (g) MC statistics. The uncertainties in the weighted efficiencies are mainly due to limited MC statistics, which are 0.5%, 0.6%, 0.4%, 0.6% and 0.6% for $D_s^+ \rightarrow \phi e^+\nu_e$, $\phi\mu^+\nu_\mu$, $\eta\mu^+\nu_\mu$, $\eta'_{\pi^+\pi^-}\mu^+\nu_\mu$ and $\eta'_{\gamma\rho^0}\mu^+\nu_\mu$, respectively. The effects of the statistical uncertainty of ST yields of data is negligible for the weighting efficiencies.
- (h) MC model. The uncertainty associated with MC model is studied with an alternative SL form-factor model, *i.e.*, the simple pole model [38]. The resultant differences on DT efficiencies with respect to the nominal values, which are 1.4%, 1.1%, 0.7%, 2.5%

and 2.2% for $D_s^+ \rightarrow \phi e^+\nu_e$, $\phi\mu^+\nu_\mu$, $\eta\mu^+\nu_\mu$, $\eta'_{\pi^+\pi^-}\mu^+\nu_\mu$ and $\eta'_{\gamma\rho^0}\mu^+\nu_\mu$, respectively, are considered as the associated systematic uncertainties.

- (i) BF's of ϕ and $\eta(\eta')$. The BF's for $\phi \rightarrow K^+K^-$, $\eta \rightarrow \gamma\gamma$, $\eta' \rightarrow \eta\pi^+\pi^-$ and $\eta' \rightarrow \gamma\rho^0$ are quoted from the PDG [4]. Their uncertainties are 1.0%, 0.5%, 1.6% and 1.7%, respectively.

The individual systematic uncertainties discussed above are summarized in Table III and the total systematic uncertainties are the quadratic sum of the individual ones. The sources tagged with ^c are common systematic uncertainties between the two η' decay modes and the other sources are independent. Finally, we assign 7.1% as the total systematic uncertainty for $D_s^+ \rightarrow \eta'\mu^+\nu_\mu$.

V. SUMMARY

By analyzing the 482 pb⁻¹ data collected at $\sqrt{s} = 4.009$ GeV with the BESIII detector, we determine the BF's for the SL decays $D_s^+ \rightarrow \phi e^+\nu_e$, $\phi\mu^+\nu_\mu$, $\eta\mu^+\nu_\mu$ and $\eta'\mu^+\nu_\mu$. Table IV presents the comparisons of the measured BF's with the world average values. The BF's of the semi-muonic decays $D_s^+ \rightarrow \phi\mu^+\nu_\mu$, $\eta\mu^+\nu_\mu$ and $\eta'\mu^+\nu_\mu$ are determined for the first time and are compatible with those of the corresponding semi-electronic decays [4]. The BF of $D_s^+ \rightarrow \phi e^+\nu_e$ agrees with the world average value [4] within uncertainties. The results are consistent with previous experimental measurements and support that the SL decay width of D_s^+ and $D^{0(+)}$ differs from unity [2]. Combining the previous BESIII measurements for semi-electronic decays [8] and this work, we calculate the ratios between the semi-electronic and semi-muonic decays, to be $\mathcal{B}(D_s^+ \rightarrow \phi\mu^+\nu_\mu)/\mathcal{B}(D_s^+ \rightarrow \phi e^+\nu_e) = 0.86 \pm 0.29$, $\mathcal{B}(D_s^+ \rightarrow \eta\mu^+\nu_\mu)/\mathcal{B}(D_s^+ \rightarrow \eta e^+\nu_e) = 1.05 \pm 0.24$ and $\mathcal{B}(D_s^+ \rightarrow \eta'\mu^+\nu_\mu)/\mathcal{B}(D_s^+ \rightarrow \eta' e^+\nu_e) = 1.14 \pm 0.68$ individually, where most of systematic uncertainties are canceled out. The ratios are consistent with unity within the uncertainties, and no obvious LU violation is observed. Moreover, the ratio of $\mathcal{B}(D_s^+ \rightarrow \eta\mu^+\nu_\mu)$ over $\mathcal{B}(D_s^+ \rightarrow \eta'\mu^+\nu_\mu)$ is calculated to be 0.44 ± 0.23 , which is in agreement with those of previous measurements [5,7,8,39] within uncertainties and provides complementary data to probe the $\eta - \eta'$ -glueball mixing.

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